

# The Multi-Dimensional Frobenius Problem

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## Abstract

Consider the problem of determining maximal vectors  $g$  such that the Diophantine system  $Mx = g$  has no solution. We provide a variety of results to this end: conditions for the existence of  $g$ , conditions for the uniqueness of  $g$ , bounds on  $g$ , determining  $g$  explicitly in several important special cases, constructions for  $g$ , and a reduction for  $M$ .

*Key words:* Frobenius, coin-exchange, linear Diophantine system

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## 1 Introduction

Let  $m, x$  be column vectors from the non-negative integers  $\mathbb{N}_0$ . Georg Frobenius focused attention on determining the maximal integer  $g$  such that the linear Diophantine equation  $m^T x = g$  has no solutions. This problem has attracted substantial attention in the last 100 years; for a survey see [1]. In this paper, we consider the problem of determining maximal vectors  $g$  such that the system of linear Diophantine equations  $Mx = g$  has no solutions.

For any real matrix  $X$  and any  $S \subseteq \mathbb{R}$ , we write  $X_S$  for  $\{Xs : s \in S^k\}$ , where  $k$  denotes the number of columns of  $X$ . We write  $X_1$  for the vector in  $X_{\{1\}}$ . We fix  $M \in \mathbb{Z}_{n \times (n+m)}$ , and write  $M = [A|B]$ , where  $A$  is  $n \times n$ . We call  $A_{\mathbb{R}^{\geq 0}}$  the *cone*, and  $M_{\mathbb{N}_0}$  the *monoid*.  $|A|$  denotes henceforth the absolute value of  $\det A$ , if  $A$  is a square matrix; but still the cardinality of  $A$ , if  $A$  is a set. If  $|A| \neq 0$ , then we follow [2] and call the cone *volume*. If each column of  $B$  lies in the volume cone, then we call  $M$  *simplicial*. Unless otherwise noted, we assume henceforth that  $M$  is simplicial. Note that if  $n \leq 2$  and there is some halfspace containing all the columns of  $M$ , then we may always rearrange columns to make  $M$  simplicial. For  $x \in \mathbb{R}^n$ , we call  $x + M_{\mathbb{R}^{\geq 0}} = x + A_{\mathbb{R}^{\geq 0}}$  the cone at  $x$ , writing  $\text{cone}(x)$ .

Let  $u, v \in \mathbb{R}^n$ . If  $u - v \in A_{\mathbb{Z}}$ , then we write  $u \equiv v$  and say that  $u, v$  are *equivalent mod  $A$* . If  $u - v \in A_{\mathbb{R}^{\geq 0}}$ , then we write  $u \geq v$ . If  $u - v \in A_{\mathbb{R}^{> 0}}$ , then we write  $u \succ v$ . Note that  $u \succ v$  implies  $u \geq v$ , and  $u \succ v \geq w$  implies  $u \succ w$ ; however,  $u \geq v$  does not necessarily imply that  $u \succ v$ . For  $v \in \mathbb{R}^n$ , we write  $(v)_i$  for the  $i^{\text{th}}$  coordinate of  $v$ , and  $[\succ v] = \{u \in \mathbb{Z}^n : u \succ v\}$ . We say that  $v$  is *complete* if  $[\succ v] \subseteq M_{\mathbb{N}_0}$ . We set  $G$ , more precisely  $G(M)$ , to be the set of all  $\geq$ -minimal complete vectors. We call elements of  $G$  *Frobenius vectors*; they are the vector analogue of  $g$  that we will investigate.

Set  $Q = (1/|A|)\mathbb{Z} \subseteq \mathbb{Q}$ . Although  $G$  is defined in  $\mathbb{R}^n$ , in fact it is a subset of  $Q^n$ , by the following result. Furthermore, the columns of  $B$  are in  $A_{Q \geq 0}$ ; hence  $M_{Q \geq 0} = A_{Q \geq 0}$  and without loss we work over  $Q$  rather than over  $\mathbb{R}$ .

**Proposition 1** *Let  $v \in \mathbb{R}^n$ . There exists  $v^* \in Q^n$  with  $[\succ v] = [\succ Av^*]$  and  $v \geq Av^*$ .*

**PROOF.** We choose  $v^* \in Q^n$  such that  $A^{-1}v - v^* = \epsilon = (\epsilon_1, \epsilon_2, \dots, \epsilon_n)$  with  $0 \leq \epsilon_i < 1/|A|$ . Multiplying by  $A$  we get  $v - Av^* = A\epsilon$ ; hence  $v \geq Av^*$ . We will now show that for  $u \in \mathbb{Z}^n$ ,  $u \succ v$  if and only if  $u \succ Av^*$ . If  $u \succ v$ , then  $u \succ Av^*$  because  $u \succ v \geq Av^*$ . On the other hand, suppose that  $u \succ Av^*$  and  $u \not\succeq v$ . Hence  $u - Av^* \in A_{\mathbb{R} > 0}$  and  $u - v \in A_{\mathbb{R}} \setminus A_{\mathbb{R} > 0}$ . Multiplying by  $A^{-1}$  we get  $A^{-1}u - v^* \in I_{\mathbb{R} > 0}$  and  $A^{-1}u - A^{-1}v \in I_{\mathbb{R}} \setminus I_{\mathbb{R} > 0}$ . Therefore, there is some coordinate  $i$  with  $(A^{-1}u - v^*)_i > 0$  and  $(A^{-1}u - A^{-1}v)_i \leq 0$ . Because  $u \in \mathbb{Z}^n$  and  $A$  is an integer matrix, we have  $A^{-1}u \in Q^n$ ; hence in fact  $(A^{-1}u - v^*)_i \geq 1/|A|$ . Now,  $0 \geq (A^{-1}u - A^{-1}v)_i = (A^{-1}u - v^* - (A^{-1}v - v^*))_i = (A^{-1}u - v^*)_i - \epsilon_i \geq 1/|A| - \epsilon_i$ . However, this contradicts  $\epsilon_i < 1/|A|$ .  $\square$

Let  $x, y \in M_{Q \geq 0}$ . We write  $x = Ax', y = Ay'$ , with  $x', y' \in (Q^{\geq 0})^n$ , define  $z'$  via  $(z')_i = \max((x')_i, (y')_i)$ , and set  $\text{lub}(x, y) = Az'$ . We have  $\text{lub}(x, y) \in M_{Q \geq 0}$ , although in general  $\text{lub}(x, y) \notin M_{\mathbb{N}_0}$  (even if  $x, y \in M_{\mathbb{N}_0}$ ) because  $A^{-1}B$  need not have integer entries.

For  $u \in M_Q$ , we set  $V(u) = (u + A_{Q \cap (0,1]}) \cap \mathbb{Z}^n$ . It was known to Dedekind [3] that  $|V(u)| = |A|$ , and that  $V(u)$  is a complete set of coset representatives mod  $A$  (as restricted to  $\mathbb{Z}^n$ ). Note that  $u$  is complete if and only if  $V(u) \subseteq M_{\mathbb{N}_0}$ .

The following equivalent conditions on  $M$  generalize the one-dimensional notion of relatively prime generators. Portions of the following have been repeat-

edly rediscovered [4,5,2,6,7]. We assume henceforth, unless otherwise noted, that  $M$  possesses these properties. We call such  $M$  *dense*.

**Theorem 2** *The following are equivalent:*

- (1)  $G$  is nonempty.
- (2)  $M_{\mathbb{Z}} = \mathbb{Z}^n$ .
- (3) For all unit vectors  $e_i$  ( $1 \leq i \leq n$ ),  $e_i \in M_{\mathbb{Z}}$ .
- (4) There is some  $v \in M_{\mathbb{N}_0}$  with  $v + e_i \in M_{\mathbb{N}_0}$  for all unit vectors  $e_i$ .
- (5) The GCD of all the  $n \times n$  minors of  $M$  has absolute value 1.
- (6) The elementary divisors of  $M$  are all 1.

**PROOF.** The proof follows the plan (1)  $\leftrightarrow$  (4)  $\leftrightarrow$  (3)  $\leftrightarrow$  (2)  $\leftrightarrow$  (6)  $\leftrightarrow$  (5).

(1) $\leftrightarrow$ (4): Let  $g \in G$ . Choose  $v \in [> g]$  far enough from the boundaries of the cone so that  $v + e_i$  is also in  $[> g]$  for all unit vectors  $e_i$ . Because  $g$  is complete,  $v$  and  $v + e_i$  are all in  $M_{\mathbb{N}_0}$ . The other direction is proved in [2] (Proposition 5).

(4) $\leftrightarrow$ (3): For one direction, write  $e_i = Mf_i$ . Set  $k = \max_i \|f_i\|_{\infty}$ . Set  $v = Mk^n$ . We see that  $v + e_i = M(k^n + f_i) \subseteq M_{\mathbb{N}_0}$ . For the other direction, let  $1 \leq i \leq n$ . Write  $v = Mw$ ,  $v + e_i = Mw'$ , where  $w, w' \in \mathbb{N}_0^n$ . Hence,  $e_i = M(w' - w) \subseteq M_{\mathbb{Z}}$ .

(3) $\leftrightarrow$ (2): Let  $v \in \mathbb{Z}^n$ ; write  $v = (v_1, v_2, \dots, v_n)$ . Write  $e_i = Mf_i$ , for  $f_i \in \mathbb{Z}^n$ . Then  $v = M \sum v_i f_i$ , as desired. The other direction is trivial.

(2) $\leftrightarrow$ (6): We place  $M$  in Smith normal form: write  $M = LNR$ , where  $N$  is a diagonal matrix of the same dimensions as  $M$ , and  $L, R$  are square matrices, invertible over the integers. The diagonal entries of  $N$  are the elementary divisors of  $M$ . We therefore have that (2)  $\leftrightarrow N = [I|0] \leftrightarrow$  (6).

(6) $\leftrightarrow$ (5): The product of the elementary divisors is known (see, for example, [8]) to be the absolute value of the GCD of all  $n \times n$  minors of  $M$ . If they are each one, then their product is one. Conversely, if their product is one, then

they must each be one since they are all nonnegative integers.  $\square$

Classically, there is a second type of Frobenius number  $f$ , maximal so that  $m^T x = f$  has no solutions with  $x$  from  $\mathbb{N}$  (rather than  $\mathbb{N}_0$ ). This does not alter the situation; in [9] it was shown that  $f = g + m^T 1$ . A similar situation holds in the vector context.

Call  $v$  *f-complete* if  $[\succ v] \subseteq M_{\mathbb{N}}$ .

**Proposition 3** *Let  $F$  be the set of all  $\geq$ -minimal  $f$ -complete vectors. Then  $F = G + M_1$ .*

**PROOF.** It suffices to show that  $v \in Q^n$  is complete if and only if  $v + M_1$  is  $f$ -complete. Note that the following conditions are equivalent for an integral vector  $u$ : (1)  $u \in [\succ v + M_1]$ , (2)  $u \succ v + M_1$ , (3)  $(u - M_1) - v \in M_{\mathbb{R}^{\geq 0}}$ , (4)  $(u - M_1) \succ v$ , (5)  $(u - M_1) \in [\succ v]$ . Now, suppose that  $v$  is complete. Let  $u \in [\succ v + M_1]$ ; hence  $(u - M_1) \in [\succ v] \subseteq M_{\mathbb{N}_0}$  and therefore  $u \in M_{\mathbb{N}}$ . So  $v + M_1$  is  $f$ -complete. On the other hand, suppose that  $v + M_1$  is  $f$ -complete. Let  $(u - M_1) \in [\succ v]$ ; hence  $u \in [\succ v + M_1] \subseteq M_{\mathbb{N}}$ . Hence  $u - M_1 \subseteq M_{\mathbb{N}} - M_1 = M_{\mathbb{N}_0}$ , and  $v$  is complete.  $\square$

Having established the notation and basic groundwork for the problem, we now present two useful techniques: the method of critical elements, and the MIN method. Each will be shown to characterize the set  $G$ .

## 2 The Method of Critical Elements

For a vector  $u$  and  $i \in [1, n]$ , let  $C^i(u) = \{v : v \in \mathbb{Z}^n \setminus M_{\mathbb{N}_0}, v = u + Aw, (w)_i = 0, (w)_j \in (0, 1] \text{ for } j \neq i\}$ . This set captures all lattice points missing from the monoid, in the  $i^{\text{th}}$  face of the cone at  $u$ , that are minimal mod  $A$ . Let  $C(u) = \bigcup_{i \in [1, n]} C^i(u)$ , which is a disjoint union of finite sets. We call elements of  $C(u)$  *critical*. Note that if  $v \in C^i(u)$ , then  $v + Ae_i \in V(u)$ . Critical elements characterize  $G$ , as shown by the following theorem.

**Theorem 4** *Let  $x$  be complete. The following statements are equivalent.*

- (1)  $x \in G$
- (2) Each face of  $\text{cone}(x)$  contains at least one lattice point not in the monoid.
- (3)  $C^i(x) \neq \emptyset, \forall i \in [1, n]$ .

**PROOF.** We write  $x = Ax'$ . For each  $i \in [1, n]$ , set  $x^i = x - (1/|A|)Ae_i$  and  $S_i = [\succ x^i] \setminus [\succ x]$ . Observe that  $S_i = \{Au \in \mathbb{Z}^n : (u)_j > (x')_j \text{ (for } j \neq i), (u)_i = (x')_i\}$ ; the  $S_i$  are the lattice points in the  $i^{\text{th}}$  face of  $\text{cone}(x)$ .

(1)  $\rightarrow$  (2) If  $S_i \subseteq M_{\mathbb{N}_0}$ , then  $x^i$  is complete, which is violative of  $x \in G$ .

(2)  $\rightarrow$  (3) Pick any minimal  $y \in S_i \setminus M_{\mathbb{N}_0}$ . Suppose that  $(A^{-1}(y - x))_j \notin (0, 1]$  for  $j \neq i$ ; in this case,  $y - Ae_j$  would also be in  $S_i \setminus M_{\mathbb{N}_0}$ , violating the minimality of  $y$ . Hence  $y \in C^i(x)$ , and thus  $C^i(x) \neq \emptyset$ .

(3)  $\rightarrow$  (1) If  $x^* < x$ , then  $x^* \leq x^i$  for some  $i$ . But no  $x^i$  is complete; hence  $x^*$  is not complete. Thus  $x$  is  $\geq$ -minimal and complete and thus  $x \in G$ .  $\square$

Critical elements can also be used to test for uniqueness of Frobenius vectors.

Set  $\bar{e}_i = \bar{1} - e_i = (1, 1, \dots, 1, 0, 1, 1, \dots, 1)$ .

**Theorem 5** *Let  $g \in G$ . Then  $|G| = 1$  if and only if for each  $i \in [1, n]$  there*

is some  $c^i \in C^i(g)$  with  $c^i + kA\bar{e}_i \notin M_{\mathbb{N}_0}$  for all  $k \in \mathbb{N}_0$ .

**PROOF.** Suppose that for each  $i \in [1, n]$  there is some  $c^i \in C^i(g)$  with  $c^i + kA\bar{e}_i \notin M_{\mathbb{N}_0}$  for all  $k$ . Let  $g' \in G$ . If  $g' \neq g$ , then for some  $i$  we must have  $(A^{-1}g')_i < (A^{-1}g)_i$ . As  $k \rightarrow \infty$ ,  $(A^{-1}c^i + k\bar{e}_i)_j \rightarrow \infty$  (for  $j \neq i$ ), but also  $(A^{-1}c^i + k\bar{e}_i)_i = (A^{-1}g)_i$  for all  $k$ . Therefore, for some  $k$  we have  $c^i + kA\bar{e}_i \succ g'$ . Hence  $g'$  is not complete, which is violative of our assumption. Hence  $|G| = 1$ .

Now, let  $g \in G$  be unique, let  $i \in [1, n]$  be such that each  $c^i \in C^i(g)$  has some  $k(i)$  with  $c^i + k(i)A\bar{e}_i \in M_{\mathbb{N}_0}$ . If  $c^i + kA\bar{e}_i \in M_{\mathbb{N}_0}$ , then  $c^i + k'A\bar{e}_i \in M_{\mathbb{N}_0}$  for any  $k' \geq k$ ; hence because  $|C^i(g)| < \infty$  there is some  $K \in \mathbb{N}_0$  with  $c^i + KA\bar{e}_i \in M_{\mathbb{N}_0}$  for all  $c^i \in C^i(g)$ . Now, set  $g^* = g + (K + 1)A\bar{e}_i - (1/|A|)Ae_i$  and  $S = [\succ g^*] \setminus [\succ g] \subseteq \{u \in \mathbb{Z}^n : (A^{-1}(u - g))_i = 0, (A^{-1}(u - g))_j \geq K + 1 \ (j \neq i)\}$ .

We now show that  $S \setminus M_{\mathbb{N}_0}$  is empty; otherwise, choose  $u$  therein. Set  $u' = u - Aa$ , where  $(a)_i = 0$  and  $(a)_j = \begin{cases} \lfloor (A^{-1}(u - g))_j \rfloor & (A^{-1}(u - g))_j \notin \mathbb{Z} \\ (A^{-1}(u - g))_j - 1 & (A^{-1}(u - g))_j \in \mathbb{Z} \end{cases}$  (for  $j \neq i$ ). We must have  $u' \in \mathbb{Z}^n \setminus M_{\mathbb{N}_0}$ , since otherwise  $u \in M_{\mathbb{N}_0}$ . We also have  $(A^{-1}(u' - g))_i = 0, (A^{-1}(u' - g))_j \in (0, 1]$  for  $j \neq i$ ; hence  $u' \in C^i(g)$ . But then  $u' + KA\bar{e}_i \in M_{\mathbb{N}_0}$  and hence  $u \in M_{\mathbb{N}_0}$  since  $u - (u' + KA\bar{e}_i) \in A_{\mathbb{N}_0}$ . Hence  $S \subseteq M_{\mathbb{N}_0}$  and  $g^*$  is complete. Now take  $g' \in G$  with  $g' \leq g^*$ . We have  $(A^{-1}g')_i \leq (A^{-1}g^*)_i < (A^{-1}g)_i$  and hence  $g' \neq g$ , which is violative of our hypothesis.  $\square$

Our next result generalizes a one-dimensional reduction result in [10] which is very important because it allows the assumption that the generators are pairwise relatively prime. The vector generalization unfortunately does not permit us an analogous assumption in general.

**Theorem 6** *Let  $d \in \mathbb{N}$  and let  $M = [A|B]$  be simplicial. Suppose that  $N = [A|dB]$  is dense. Then  $M$  is dense, and  $G(N) = dG(M) + (d-1)A_1$ .*

**PROOF.** Each  $n \times n$  minor of  $M$  divides a corresponding minor of  $N$ , and hence  $M$  is dense. Further,  $d$  divides all minors of  $N$  apart from  $|A|$ , and hence  $\gcd(|A|, d) = 1 = \gcd(|A|^2, d)$ . We can therefore pick  $d^* \in \mathbb{N}$  with  $d^*d \in 1 + |A|^2\mathbb{N}_0$ . For any  $v \in Q^n$ , we observe that  $d^*dv - v \in \mathbb{N}_0|A|^2Q^n = \mathbb{N}_0|A|\mathbb{Z}^n \subseteq A_{\mathbb{Z}}$ ; hence  $d^*dv \equiv v$ . Set  $\theta(x) = dx + (d-1)A1^n$ . We will show for any  $x \in Q^n$  that  $x \in M_{\mathbb{N}_0}$  if and only if  $\theta(x) \in N_{\mathbb{N}_0}$  (in particular, if  $\theta(x) \in N_{\mathbb{N}_0}$ , then  $x \in \mathbb{Z}^n$ ). One direction is trivial; for the other, assume  $\theta(x) \in N_{\mathbb{N}_0}$ . We have  $dx + dA1^n = A(y + 1^n) + dBz$ , for  $y \in \mathbb{N}_0^n$ , and  $z \in \mathbb{N}_0^m$ . We observe that  $x + A1^n = A(1/d)(y + 1^n) + Bz$ , so  $x + A1^n \geq Bz$ . Also,  $d^*d(x + A1^n) = Ad^*(y + 1^n) + d^*dBz$ , and hence  $x + A1^n \equiv Bz$ . Therefore  $x + A1^n - Bz = Aw$  for some  $w \in \mathbb{N}_0^n$ . Further,  $w = (1/d)(y + 1^n)$  so in fact  $w \in \mathbb{N}^n$ . Hence,  $x = A(w - 1^n) + Bz \in M_{\mathbb{N}_0}$ .

Next, we show that  $x$  is  $M$ -complete if and only if  $\theta(x)$  is  $N$ -complete. First suppose that  $\theta(x)$  is  $N$ -complete. Let  $u \in [\succ x]$ ; we have  $\theta(u) \in [\succ \theta(x)] \subseteq N_{\mathbb{N}_0}$ . Hence  $u \in M_{\mathbb{N}_0}$  so  $x$  is  $M$ -complete. Now suppose that  $x$  is  $M$ -complete. Let  $u \in V(\theta(x))$ . Set  $u' \in V(x)$  with  $du' \equiv u$ . We have  $u = \theta(x) + A\epsilon$ ,  $u' = x + A\epsilon'$ , where  $\epsilon, \epsilon' \in (0, 1]^n$ . We compute  $u - du' = A\omega$ , where  $\omega = d(1^n - \epsilon') + (\epsilon - 1^n)$ . Because  $u \equiv du'$  we also have  $u - du' = A\alpha$  with  $\alpha \in \mathbb{Z}^n$ . Since  $|A| \neq 0$ , we have  $\omega = \alpha \in \mathbb{Z}^n$ . Further, since  $\epsilon, \epsilon' \in (0, 1]^n$ , each coordinate of  $d(1^n - \epsilon') + (\epsilon - 1^n)$  is strictly greater than  $-1$  and hence  $\omega \in \mathbb{N}_0^n$ . We have  $u' \in M_{\mathbb{N}_0}$  since  $x$  is  $M$ -complete. But then  $du' \in N_{\mathbb{N}_0}$ , and thus  $u = du' + A\omega \in N_{\mathbb{N}_0}$ . Hence  $V(\theta(x)) \subseteq N_{\mathbb{N}_0}$  and thus  $\theta(x)$  is  $N$ -complete.

Let  $g \in G(M)$ . We will show that  $\theta(g) \in G(N)$ . Let  $i \in [1, n]$ . By Theorem 4, there is  $u \in [0, 1]^n$  with  $u_i = 0, u_j > 0$  (for  $j \neq i$ ), such that  $g + Au \in \mathbb{Z}^n \setminus M_{\mathbb{N}_0}$ .



We have  $\theta(g + Au) \in \mathbb{Z}^n \setminus N_{\mathbb{N}_0}$ . We write  $\theta(g + Au) = d(g + Au) + (d-1)A1^n = \theta(g) + Adu$ . Write  $du = u' + u''$  where  $(u')_i = 0, (u')_j \in (0, 1]$ , and  $u'' \in \mathbb{N}_0^n$ . We have  $\theta(g) + Au' \in C^i(\theta(g))$ ; considering all  $i$  gives  $\theta(g) \in G(N)$ . Now, let  $g \in G(N)$ . We will show that  $\theta^{-1}(g) = (1/d)(g - (d-1)A1^n) \in G(M)$ . We again apply Theorem 4 to get an appropriate  $u$  with  $g + Au \in \mathbb{Z}^n \setminus N_{\mathbb{N}_0}$ . Note that  $g + A(u + d1^n) \in N_{\mathbb{N}_0}$  hence  $\theta^{-1}(g + A(u + d1^n)) = (1/d)(g + Au + dA1^n - (d-1)A1^n) = \theta^{-1}(g) + (1/d)Au + A1^n \in M_{\mathbb{N}_0} \subseteq \mathbb{Z}^n$ . Thus,  $\theta^{-1}(g + Au) = (1/d)(g + Au - (d-1)A1^n) = \theta^{-1}(g) + (1/d)Au \in \mathbb{Z}^n$ . We therefore have  $\theta^{-1}(g + Au) \in C^i(\theta^{-1}(g))$ ; considering all  $i$  gives  $\theta^{-1}(g) \in G(M)$ .  $\square$

### 3 The MIN Method

Let  $\text{MIN} = \{x : x \in M_{\mathbb{N}_0}; \text{ for all } y \in M_{\mathbb{N}_0}, \text{ if } y \equiv x \text{ then } y \geq x\}$ . Provided  $M$  is dense,  $\text{MIN}$  will have at least one representative of each of the  $|A|$  equivalence classes mod  $A$ .  $\text{MIN}$  is a generalization of a one-dimensional method in [9]; the following result shows that it characterizes the set  $G$ .

**Theorem 7** *Let  $g \in G$ . Then  $g = \text{lub}(N) - A_1$  for some complete set of coset representatives  $N \subseteq \text{MIN}$ . Further, if  $n < |A|$  then there is some  $N' \subseteq N$  with  $|N'| = n$  and  $\text{lub}(N) = \text{lub}(N')$ .*

**PROOF.** Observe that  $V(g) \subseteq [\succ g]$ , and hence  $V(g) \subseteq M_{\mathbb{N}_0}$  since  $g$  is complete. Let  $\text{MIN}' = \{u \in \text{MIN} : \exists v \in V(g), u \equiv v, u \leq v\}$ . Now, for  $v \in C^i(g)$ , we have  $v + Ae_i \in V(g)$ . Let  $v_{\text{MIN}} \in \text{MIN}'$  with  $v_{\text{MIN}} \equiv v + Ae_i$  and  $v_{\text{MIN}} \leq v + Ae_i$ . We must have  $(A^{-1}v_{\text{MIN}})_i \geq (A^{-1}v)_i + 1 = (A^{-1}g)_i + 1$  because otherwise  $v \in v_{\text{MIN}} + A_{\mathbb{N}_0}$  and therefore  $v \in M_{\mathbb{N}_0}$ , which is violative of  $v \in C^i(g)$ . Set  $N' = \{v_{\text{MIN}} : i \in [1, n]\}$ . We have  $\text{lub}(N') \geq g + A_1$ , but also we have  $g + A_1 = \text{lub}(V(g)) \geq \text{lub}(\text{MIN}') \geq \text{lub}(N')$ . Hence all the inequalities

are equalities, and in fact  $\text{lub}(N') = \text{lub}(N)$  for any  $N$  with  $N' \subseteq N \subseteq \text{MIN}'$ . Finally, we note that  $|N'| \leq n$  but also we may insist that  $|N'| \leq |A|$  because  $|V(g)| = |A|$ .  $\square$

Elements of  $\text{MIN}$  have a particularly nice form. This is quite useful in computations.

**Theorem 8**  $\text{MIN} \subseteq \{Bx : x \in \mathbb{N}_0^m, \|x\|_1 \leq |A| - 1\}$ .

**PROOF.** Let  $v \in \text{MIN} \subseteq M_{\mathbb{N}_0}$ . Write  $v = Mv'$ , where  $v' \in \mathbb{N}_0^{n+m}$ . Suppose that  $(v')_i > 0$ , for  $1 \leq i \leq n$ . Set  $w' = v' - e_i$ , and  $w = Mw'$ . We see that  $w \equiv v$ ,  $w \leq v$ , and  $w \in M_{\mathbb{N}_0}$ ; this contradicts that  $v \in \text{MIN}$ . Hence  $\text{MIN} \subseteq B_{\mathbb{N}_0}$ . Let  $z = Bx \in \text{MIN}$ . Suppose that  $\|x\|_1 \geq |A|$ . Start with 0 and increment one coordinate at a time, building a sequence  $B0 = Bv_0 \leq Bv_1 \leq Bv_2 \leq \dots \leq Bv_{\|x\|_1} = z$  where each  $v_i \in \mathbb{N}_0^m$ . We may do this since  $M$  is simplicial. Because there are at least  $|A| + 1$  terms, two (say  $Bv_a \leq Bv_b$ ) are congruent mod  $A$ . We have  $z - Bv_b \in M_{\mathbb{N}_0}$  and so  $y = z - (Bv_b - Bv_a) \in M_{\mathbb{N}_0}$ , but  $y \leq z$  and  $y \equiv z$ . This violates that  $z \in \text{MIN}$ .  $\square$

**Corollary 9**  $|G|$  is finite.

The following result, proved first in [11] and rediscovered in [12], generalizes the classical one-dimensional result on two generators that  $g(a_1, a_2) = a_1a_2 - a_1 - a_2$ . Note that in the special case where  $m = 1$ , we must have that  $|G| = 1$  and  $G \subseteq \mathbb{Z}^n$ . Neither of these necessarily holds for  $m > 1$ .

**Corollary 10** If  $m = 1$  then  $G = \{|A|B - A_1 - B\}$ .

**PROOF.** By Theorem 8, we have  $\text{MIN} = \{0, B, 2B, \dots, (|A| - 1)B\}$ , a complete set of coset representatives. By Theorem 7, any  $g \in G$  must have

$$g + A_1 = \text{lub}(MIN) = (|A| - 1)B. \quad \square$$

Corollary 10 can be extended to the case where the column space of  $B$  is one dimensional, using as an oracle function the (one-dimensional) Frobenius number. In this special case we again have  $|G| = 1$  and  $G \subseteq \mathbb{Z}^n$ .

**Theorem 11** *Consider a dense  $M = [A|B]$  with  $B$  a column ( $n \times 1$ ) vector, i.e. the special case  $m = 1$ . Let  $C = [c_1, c_2, \dots, c_m] \in \mathbb{N}^m$ . Suppose that  $P = [ |A| \mid C ]$  is dense. Then  $N = [A|BC]$  is dense, and  $G(N) = \{G(P)B + |A|B - A_1\}$ .*

**PROOF.** By Theorem 8, we have  $MIN(M) = \{0, B, \dots, (|A| - 1)B\}$ . Hence  $\mathbb{Z}^n/A\mathbb{Z}^n$  is cyclic, and  $B$  is a generator. Let  $S$  denote the set of all  $n \times n$  minors of  $M$ , apart from  $|A|$ . Using the denseness of  $M$  and  $P$ , we have  $\text{gcd}(|A|, \{c_i s : 1 \leq i \leq m, s \in S\}) = \text{gcd}(|A|, \text{gcd}(c_1, c_2, \dots, c_m) \text{gcd}(S)) = \text{gcd}(|A|, \text{gcd}(S)) = 1$ , and hence  $N$  is dense. Again by Theorem 8, we have  $MIN(N) \subseteq B_{\mathbb{N}_0}$ . We now show that  $G(P)B \notin M_{\mathbb{N}_0}$ . Suppose otherwise. We then write  $G(P)B = Ax + BCy$  and hence  $Ax = Bq$  for  $q = (G(P) - Cy)$ . We conclude that  $qB \equiv 0 \pmod{A}$  and hence  $q = k|A|$  for some  $k \in \mathbb{N}$  ( $k > 0$  since  $M$  is simplicial) since  $B$  generates  $\mathbb{Z}^n/A\mathbb{Z}^n$ . We now have  $BG(P) = Bk|A| + BCy$ , and hence  $G(P) = k|A| + Cy$ . But now  $G(P) - 1$  is complete (with respect to  $P$ ), which violates the definition of  $G(P)$ . Therefore  $G(P)B \notin M_{\mathbb{N}_0}$ . On the other hand, if  $\alpha \in \mathbb{Z}$  and  $\alpha > G(P)$  we have  $\alpha = k|A| + Cy$ , for some  $k, y \in \mathbb{N}_0$ . Therefore, we have  $B\alpha = k|A|B + BCy = A(k|A|A^{-1}B) + BCy \in M_{\mathbb{N}_0}$  (note that  $A^{-1}B \in Q^{\geq 0}$  since  $M$  is simplicial). Hence,  $T = \{G(P)B + kB : k \in [1, |A|]\} \subseteq M_{\mathbb{N}_0}$ , with  $\text{lub}(T) = G(P)B + |A|B = \beta$ . Let  $g \in G(N)$ , and let  $M$  be chosen as in Theorem 7 with  $|M| = |A|$ . Since  $T$  is a complete set of coset representatives and both  $T$  and  $MIN(N)$  lie on  $B\mathbb{R}$ , we have  $\text{lub}(M) \leq$

$\text{lub}(\text{MIN}(N)) \leq \text{lub}(T) = G(P)B + |A|B = \beta$ . However, the coset of  $\beta$  is precisely  $\{G(P)B + k|A|B : k \in \mathbb{Z}\}$ . Therefore,  $\beta$  is the unique representative of its equivalence class in  $\text{MIN}$ , and thus  $\beta \in M$  and  $\text{lub}(M) = \beta$ . Hence  $g + A_1 = \beta$  for all  $g \in G$ , as desired.  $\square$

**Example 12** Consider  $N = \begin{pmatrix} 5 & 0 & 84 & 105 \\ 0 & 4 & 84 & 105 \end{pmatrix}$ . We have  $N = [A|BC]$ , for  $A = \begin{pmatrix} 5 & 0 \\ 0 & 4 \end{pmatrix}$ ,  $B = \begin{pmatrix} 3 \\ 3 \end{pmatrix}$ , and  $C = (28, 35)$ . Following Theorem 11, we have  $P = (20, 28, 35)$ .  $\text{gcd}(20, 28, 35) = 1$  so  $P$  is dense; we now calculate  $G(P) = 197$  using our one-dimensional oracle. Therefore  $N$  is dense and  $G(N) = \left\{ \begin{pmatrix} 646 \\ 647 \end{pmatrix} \right\}$ .

We give three more results using this method. First, we present a  $\leq$ -bound for  $G$ . This generalizes a one dimensional bound, attributed to Schur in [13]:  $g(a_1, a_2, \dots, a_k) \leq a_1 a_k - a_1 - a_k$  (where  $a_1 < a_2 < \dots < a_k$ ). Note that Corollary 10 shows that equality is sometimes achieved.

**Theorem 13** For all  $g \in G$ ,  $g \leq \text{lub}(\{|A|b - A_1 - b : b \text{ a column of } B\})$ .

**PROOF.** Let  $x \in \text{MIN}$ , fix  $1 \leq i \leq n$ , and write  $(A^{-1}x)_i = (A^{-1}Bx')_i = (\sum_b (x')_b A^{-1}b)_i$ , where  $b$  ranges over all the columns of  $B$ . Set  $b^*$  to be a column of  $B$  with  $(A^{-1}b^*)_i$  maximal. By Theorem 8, we have that  $(A^{-1}x)_i \leq (A^{-1}b^*)_i \|x'\|_1 \leq (A^{-1}b^*)_i (|A| - 1)$ . By the choice of  $b^*$ , and by varying  $i$ , we have shown that  $x \leq \text{lub}(\{(|A|-1)b\})$  and hence  $\text{lub}(\text{MIN}) \leq \text{lub}(\{(|A|-1)b\})$ . For any  $g \in G$ , we apply Theorem 7 and have  $g + A_1 \leq \text{lub}(\text{MIN}) \leq \text{lub}(\{(|A|-1)b\})$ .  $\square$

Next, we characterize possible  $G$  in our context for the special case  $m = 1$ . This generalizes a one-dimensional construction found in [14]. If we allow  $m = 2$ , then it is an open problem to determine whether all  $G$  are possible.

**Theorem 14** *Let  $g \in \mathbb{Z}^n$ . There exists a simplicial, dense,  $M$  with  $m = 1$  and  $G = \{g\}$  if and only if  $(1/2)g \notin \mathbb{Z}^n$ .*

**PROOF.** Suppose  $(1/2)g \notin \mathbb{Z}^n$ . By applying an invertible change of basis, if necessary, we assume without loss that  $g \in \mathbb{N}^n$  and that  $(1/2)(g)_1 \notin \mathbb{Z}$ . Set  $A = \text{diag}(2, 1, 1, \dots, 1)$ , and set  $B = A_1 + g$ . For  $i \in [1, n]$ , define  $A^{\dot{i}}$  to be  $A$  with the  $i^{\text{th}}$  column replaced by  $B$ . Note that  $\det A = 2$  and  $\det A^{\dot{1}} = 2 + (g)_1$  (which is odd), and hence  $M$  is dense. We now apply Corollary 10 to get  $G = \{g\}$ , as desired. Suppose now that we have a simplicial dense  $M$ , with  $G = \{g\}$  and  $(1/2)g \in \mathbb{Z}^n$ . Applying Corollary 10 again, we get that  $g + A_1 = (|A| - 1)B$ . Suppose that  $|A|$  were odd. Then each coordinate of  $(|A| - 1)B$  is even, as is each coordinate of  $g$ , and hence so is each coordinate of  $A_1$ . Considering the integers mod 2, we have  $|A| = 1$  but  $A_1 = 0^n$ , a contradiction. Therefore we must have that  $|A|$  is even. We now consider the system  $A(x_1, x_2, \dots, x_n)^T = B$ . We may apply Cramer's rule since  $|A| \neq 0$  and  $B \neq 0^n$ ; we find that, uniquely,  $\det A^{\dot{i}} = x_i |A|$ . We now consider the system reduced mod 2 (working in  $\mathbb{Q}/2\mathbb{Q}$ ) and find that  $1^n$  solves the reduced system, as  $B = |A|B - g - A_1 \equiv -A1^n \equiv A1^n \pmod{2}$ . Hence, each  $x_i$  is in fact an odd integer, and thus  $\det A^{\dot{i}}$  is an even integer. Consequently, all  $n \times n$  minors of  $M$  are even, which is violative of the denseness of  $M$ .  $\square$

Our last result combines the two methods presented. It generalizes the one-dimensional theorem  $g(a, a + c, a + 2c, \dots, a + kc) = a[(a - 1)/k] + ac - a - c$ , as proved in [15]. The following determines  $G$ , for  $M$  of a similarly special type.

**Theorem 15** *Fix  $A$  and a vector  $c \geq 0$ . Set  $C = c(1^n)^T$ , a square matrix, and fix  $k \in \mathbb{N}$ . Set  $M = [A|A + C|A + 2C|\dots|A + kC]$ . Suppose that  $M$  is*

dense. Then  $G(M) = \{Ax + |A|c - A_1 - c : x \in \mathbb{N}_0^n, \|x\|_1 = \lceil (|A| - 1)/k \rceil\}$ .

**PROOF.** We have

$$\begin{aligned}
M_{\mathbb{N}_0} &= \left\{ \sum_{i=0}^k (A + iC)x^i : x^i \in \mathbb{N}_0^n \right\} \\
&= \left\{ A \sum_{i=0}^k x^i + C \sum_{i=0}^k ix^i : x^i \in \mathbb{N}_0^n \right\} \\
&= \left\{ A \sum_{i=0}^k x^i + c \sum_{i=0}^k i \|x^i\|_1 : x^i \in \mathbb{N}_0^n \right\} \\
&= \left\{ Ax + c \sum_{i=0}^k i \|x^i\|_1 : x^i \in \mathbb{N}_0^n; x = \sum_{i=0}^k x^i \right\}.
\end{aligned}$$

Now, for a fixed  $x \in \mathbb{N}_0^n$ , as we vary the decomposition  $x = \sum_{i=0}^k x^i$  (for  $x^i \in \mathbb{N}_0^n$ ), we find that  $\sum_{i=0}^k i \|x^i\|_1$  takes on all values from 0 to  $k\|x\|_1$ . Hence  $M_{\mathbb{N}_0} = \{Ax + c\gamma : x \in \mathbb{N}_0^n, \gamma \in \mathbb{N}_0, \gamma \leq k\|x\|_1\}$ .

Choose any  $x \in \mathbb{N}_0^n$  satisfying  $\|x\|_1 = \lceil (|A| - 1)/k \rceil$ . Set  $T = \{Ax + c\gamma \in M_{\mathbb{N}_0} : 0 \leq \gamma \leq |A| - 1\}$ . By construction, we have  $T \subseteq M_{\mathbb{N}_0}$ . Further, the elements of  $T$  must be inequivalent mod  $A$ , since  $c$  is a generator of the cyclic group  $\mathbb{Z}^n/A_{\mathbb{Z}}$ . Set  $h = \text{lub}(T) - A_1 = Ax + (|A| - 1)c - A_1$ . Note that each  $t \in T$  either has  $t \in V(h)$  or  $t \leq t'$  (and  $t \equiv t'$ ) for some  $t' \in V(h)$ ; hence  $V(h) \subseteq M_{\mathbb{N}_0}$  and  $h$  is complete. For any  $i \in [1, n]$ ,  $|A| - 1 > k\|x - e_i\|_1$ , so  $A(x - e_i) + (|A| - 1)c \in C^i(h)$ , and thus  $h \in G(M)$ . Now, let  $g \in G(M)$ . By Theorem 7, we have  $g \geq Ax + (|A| - 1)c - A_1$ , for some  $x \in \mathbb{N}_0^n$  with  $|A| - 1 \leq k\|x\|_1$ . By our earlier observation,  $Ax + (|A| - 1)c - A_1 \in G(M)$ , so we have equality by the minimality of  $g$ .  $\square$

**Example 16** Consider  $M = \left( \begin{smallmatrix} 5 & 0 & 7 & 2 & 9 & 4 & 11 & 6 & 13 & 8 & 15 & 10 & 17 & 12 & 19 & 14 \\ 0 & 4 & 1 & 5 & 2 & 6 & 3 & 7 & 4 & 8 & 5 & 9 & 6 & 10 & 7 & 11 \end{smallmatrix} \right)$ . We see that  $M = [A|A + C|A + 2C|A + 3C|A + 4C|A + 5C|A + 6C|A + 7C]$  for  $A = \begin{pmatrix} 5 & 0 \\ 0 & 4 \end{pmatrix}$  and  $C = \begin{pmatrix} 2 & 2 \\ 1 & 1 \end{pmatrix}$ .  $M$  is dense since  $|A| = 20$ ,  $|A + C| = 33$  and  $\text{gcd}(20, 33) =$

1. Applying Theorem 15, we get  $G(M) = \{Ax + \begin{pmatrix} 33 \\ 15 \end{pmatrix} : x, \|x\|_1 = 3\} = \{\begin{pmatrix} 48 \\ 15 \end{pmatrix}, \begin{pmatrix} 43 \\ 19 \end{pmatrix}, \begin{pmatrix} 38 \\ 23 \end{pmatrix}, \begin{pmatrix} 33 \\ 27 \end{pmatrix}\}$ .

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